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5 March 2013

## **AUDIT COMMITTEE**

Thursday 14 March 2013  
2pm  
Council House (Next to the Civic Centre), Plymouth

### **Members:**

Councillor Wheeler, Chair.  
Councillor Dr. Mahony, Vice Chair.  
Councillors Murphy, Stark and Stevens.

### **Independent Members:**

Mrs. Badger, Mr. Clarke and Mr. Stewart.

Members are invited to attend the above meeting to consider the items of business overleaf.

**Tracey Lee**  
Chief Executive

# **AUDIT COMMITTEE**

## **AGENDA**

### **PART I – PUBLIC MEETING**

#### **1. APOLOGIES**

To receive apologies for non-attendance submitted by Committee Members.

#### **2. DECLARATIONS OF INTEREST**

Members will be asked to make any declarations of interest in respect of items on this Agenda.

#### **3. MINUTES (Pages 1 - 8)**

To confirm the minutes of the meeting held on 13 December 2012.

#### **4. CHAIR'S URGENT BUSINESS**

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

#### **5. APPOINTMENT OF INDEPENDENT MEMBERS**

The Committee will confirm the appointment of its Independent Members.

#### **6. STRATEGIC RISK AND OPPORTUNITY REGISTER MONITORING REPORT (Pages 9 - 24)**

The Director for Corporate Services will submit a report on the Strategic Risk and Opportunity Register.

#### **7. INTERNAL AUDIT PLAN 2013-14 (Pages 25 - 38)**

The Director for Corporate Services will submit the Internal Audit Plan 2013-14.

#### **8. FOLLOW UP OF AUDIT WORK (Pages 39 - 44)**

The Director for Corporate Services will submit the Follow Up of Audit Work.

#### **9. PUBLIC SECTOR INTERNAL AUDIT STANDARDS UPDATE (Pages 45 - 50)**

The Director for Corporate Services will submit the Public Sector Internal Audit Standards Update.

**10. INTERNAL AUDIT CHARTER (Pages 51 - 56)**

The Director for Corporate Services will submit the Internal Audit Charter.

**11. 2013/14 BUDGET (REVENUE AND CAPITAL) ALLOCATED TO CORPORATE PRIORITIES (Pages 57 - 112)**

The Committee to note the report that went to Cabinet on 12 February 2013 and Full Council on 25 February 2013.

**12. TREASURY MANAGEMENT PRACTICES – PRINCIPLES AND SCHEDULES 2013-14 (Pages 113 - 182)**

The Director for Corporate Services will submit a report on Treasury Management Practices.

**13. CERTIFICATION WORK REPORT 2011-12 (Pages 183 - 194)**

The Council's external auditor, Grant Thornton will submit a report.

**14. AUDIT PLAN 2012/13 (Pages 195 - 208)**

The Council's external auditor, Grant Thornton will submit a report.

**15. ROLLING WORK PROGRAMME (Pages 209 - 212)**

The Committee to note the rolling work programme.

**16. AX PAYROLL PROJECT UPDATE (Pages 213 - 222)**

The Committee to receive an update on the AX Payroll Project.

**17. OPERATIONAL RISK UPDATES (Pages 223 - 224)**

The Committee to receive an update on the Plymouth and West Devon Records Office.

**18. EXEMPT BUSINESS**

To consider passing a resolution under Section 100A(4) of the Local Government Act 1972 to exclude the press and public from the meeting for the following item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Act, as amended by the Freedom of Information Act 2000.

## **PART II (PRIVATE MEETING)**

### **AGENDA**

#### **MEMBERS OF THE PUBLIC TO NOTE**

that under the law, the Panel is entitled to consider certain items in private. Members of the public will be asked to leave the meeting when such items are discussed.

**19. AX PAYROLL PROJECT UPDATE (Pages 225 - 226)**

The Committee to receive an update on the AX Payroll Project.

**20. OPERATIONAL RISK UPDATES (Pages 227 - 230)**

The Committee to receive an update on the Plymouth and West Devon Records Office and Community Infrastructure Levy (CIL).